

<p>Form PTO-1390 FEB 05 2001 TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</p>		<p>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</p> <p>ATTORNEY'S DOCKET NUMBER US 18003</p>
		<p>U.S. APPLICATION NO. 09/762363</p>
INTERNATIONAL APPLICATION NO. PCT/EP00/03333	INTERNATIONAL FILING DATE 12 April 2000	PRIORITY DATE CLAIMED 15 April 1999
TITLE OF INVENTION COMPONENTS AND CATALYSTS FOR THE POLYMERIZATION OF OLEFINS		DATE: 5 February 2001
APPLICANT(S) FOR DO/EO/US Basell Technology Company BV (f/k/a Montell Technology Company BV)		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(l)).</p> <p>4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p>Items 11. to 16. below concern other document(s) or information included:</p> <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>14. <input type="checkbox"/> A substitute specification.</p> <p>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>16. <input checked="" type="checkbox"/> Other items or information: Petition for Revival of an Application for Patent Abandoned Unintentionally Under 37 CFR 1.137(b) (in duplicate) Express Mailing Certificate Receipt acknowledgment card Copy of Limited Recognition under 37 CFR 10.9(b)</p>		

U.S. Application No. <b>09/762363</b>	International Application No.	Attorney's Docket No.	
17. <input type="checkbox"/> The following fees are submitted:		CALCULATIONS	PTO USE ONLY
Basic National Fee (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EPO or JPO. . . . .		\$ 860	
International preliminary examination fee paid to USPTO (37 CFR 1.482). . .			
No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) . . . .			
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO . . . .			
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4). . . .			
ENTER APPROPRIATE BASIC FEE AMOUNT = <b>860</b>			
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$ -0-	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	43- 20	23	x 18
Indep. claims	5- 3	2	x 80
Multiple dependent claim(s) (if applicable)		+ 270	\$ -0-
TOTAL OF ABOVE CALCULATIONS = <b>\$ 1434</b>			
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).		\$	
SUB TOTAL = <b>\$ 1434</b>			
Processing fee \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		\$	
TOTAL NATIONAL FEE = <b>\$ 1434</b>			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property		+ \$ 40	
TOTAL FEES ENCLOSED = <b>\$ 1474</b>			
		Amount to be: refunded	\$
		charged	\$
<p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>08-2336</u> in the amount of \$ <u>1474</u> to cover the above fees. Two copies of this sheet are enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>08-2336</u>. Two copies of this sheet are enclosed.</p>			
<p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to review (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p> <p><i>Margaret S. Millikin</i> Signature Margaret S. Millikin Name 38,969 Registration Number 5 February 2001 Date</p>			
<p>SEND ALL CORRESPONDENCE TO:</p> <p>Basell North America Inc. Intellectual Property &amp; Licensing 912 Appleton Road Elkton, Maryland 21921 phone: 410-996-1646 fax: 410-996-1560</p>			

Attorney Docket No. US 18003

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of )  
Giampiero Morini et al. )  
Serial No. Not yet assigned )  
Filed February 5, 2001 )  
PCT International Appln PCT/EP00/03333 )  
Filed April 12, 2000 )  
For **COMPONENTS AND CATALYSTS** )  
    **FOR THE POLYMERIZATION OF** )  
    **OLEFINS** )

Box DAC  
Commissioner for Patents  
Washington, D.C. 20231

Attention: Office of Petitions

Sir:

**PRELIMINARY AMENDMENT**

Please amend this application without prejudice as follows:

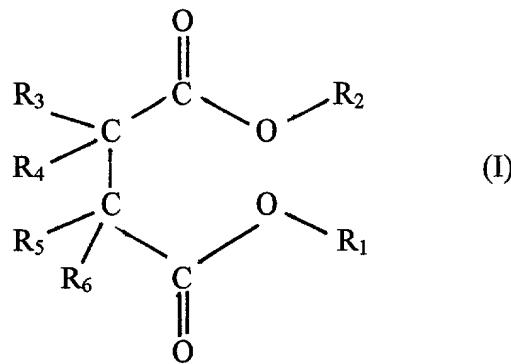
In the Claims

Please amend the claims as follows:

1. (Amended) A solid catalyst component for the polymerization of olefins  $\text{CH}_2=\text{CHR}$ , in which R is hydrogen or a hydrocarbyl radical with 1-12 carbon atoms, comprising Mg, Ti, halogen and an electron donor selected from succinates of formula (I):

5

10



wherein the radicals  $R_1$  and  $R_2$ , equal to or different from each other, are a  $C_1$ - $C_{20}$  linear or branched alkyl, alkenyl, cycloalkyl, aryl, arylalkyl or alkylaryl group, optionally containing heteroatoms; the radicals  $R_3$  to  $R_6$  equal to or different from each other, are hydrogen or a  $C_1$ - $C_{20}$  linear or branched alkyl, alkenyl, cycloalkyl, aryl, arylalkyl or alkylaryl group, optionally containing heteroatoms, and the radicals  $R_3$  to  $R_6$  which are joined to the same carbon atom can be linked together to form a cycle; with the proviso that when  $R_3$  to  $R_5$  are contemporaneously hydrogen,  $R_6$  is a radical selected from the group consisting of primary branched, secondary [or] and tertiary alkyl groups, cycloalkyl, aryl, arylalkyl [or] and alkylaryl groups having from 3 to 20 carbon atoms.

20

2. (Amended) The [C]catalyst component according to claim 1 in which the electron donor [compound] of formula (I) is selected from those in which  $R_1$  and  $R_2$  are  $C_1$ - $C_8$  alkyl, cycloalkyl, aryl, arylalkyl [and] or alkylaryl groups.

3. (Amended) The [C]catalyst component[s] according to claim 2 in which  $R_1$  and  $R_2$  are selected from the group consisting of primary alkyls.

4. (Amended) The [C]catalyst component according to claim 1 in which the electron donor [compound] of formula (I) is selected from those in which  $R_3$  to  $R_5$  are hydrogen and  $R_6$  is a branched alkyl, cycloalkyl, aryl, arylalkyl [and] or alkylaryl radical having from 3 to 10 carbon atoms.

In claim 5, line 1, delete "Catalyst" and substitute therefor --The catalyst--.

6. (Amended) The [C]catalyst component according to claim 1 in which the electron donor [compound] of formula (I) is selected from those in which at least two radicals from R<sub>3</sub> to R<sub>6</sub> are different from hydrogen and are selected from the group consisting of C<sub>1</sub>-C<sub>20</sub> linear or branched alkyl, alkenyl, cycloalkyl, aryl, arylalkyl [or] and alkylaryl groups optionally containing heteroatoms.

5 In claim 7, line 1, delete “Catalyst” and substitute therefor --The catalyst--.

In claim 8, line 1, delete “Catalyst” and substitute therefor --The catalyst--.

9. (Amended) The [C]catalyst component according to claim 8 in which the succinate of formula (I) is selected from the group consisting of diethyl 2,3-diisopropylsuccinate, diisobutyl 2,3-diisopropylsuccinate, di-n-butyl 2,3-diisopropylsuccinate, diethyl 2,3-dicyclohexyl-2-methylsuccinate, diisobutyl 2,3-dicyclohexyl-2-methylsuccinate, diisobutyl 2,2-dimethylsuccinate, diethyl 2,2-dimethylsuccinate, diethyl 2-ethyl-2-methylsuccinate, diisobutyl 2-ethyl-2-methylsuccinate, diethyl 2-(cyclohexylmethyl)-3-ethyl-3-methylsuccinate, and diisobutyl 2-(cyclohexylmethyl)-3-ethyl-3-methylsuccinate.

In claim 10, line 1, delete “A solid” and substitute therefor --The--.

In claim 10, line 1, delete “any of the preceding claims” and substitute therefor --claim 1--.

In claim 11, line 1, delete “A solid” and substitute therefor --The--.

In claim 11, line 1, delete “any of the preceding claims” and substitute therefor --claim 1--.

In claim 12, line 1, delete “A solid” and substitute therefor --The--.

In claim 12, line 1, delete “11” and substitute therefor --9--.

13. (Amended) The [A solid] catalyst component according to [any of the preceding] claim[s] 1 wherein the Ti [comprising a titanium] compound [having] has at least a Ti-halogen bond and wherein the succinate of formula (I) is supported on a Mg dichloride in active form.

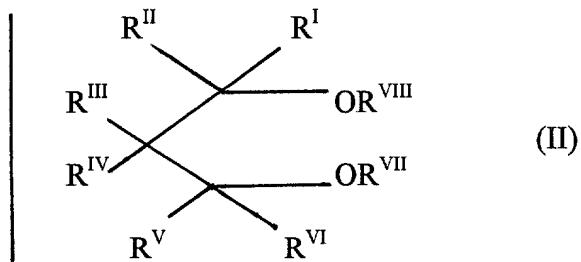
In claim 14, line 1, delete “A solid” and substitute therefor --The--.

In claim 14, line 1, delete “10” and substitute therefor --13--.

15. (Amended) The [A solid] catalyst component according to [any of the preceding] claim 1 further comprising [another] an additional electron donor compound in addition to the succinate of formula (I).

16. (Amended) The [A solid] catalyst component according to claim 15 in which the additional electron donor compound is selected from the group consisting of ethers, esters of organic mono or dicarboxylic acids and amines.

17. (Amended) The [A solid] catalyst component according to claim 16 in which the additional electron donor compound is selected from the group consisting of (i) 1,3-propanediethers of formula (II):



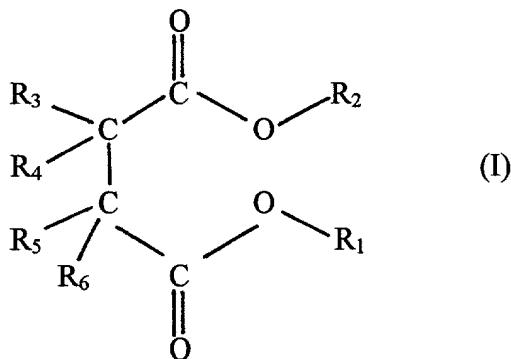
wherein R<sup>I</sup>, R<sup>II</sup>, R<sup>III</sup>, R<sup>IV</sup>, R<sup>V</sup> and R<sup>VI</sup>, equal or different from each other, are hydrogen or hydrocarbon radicals having from 1 to 18 carbon atoms, and R<sup>VII</sup> and R<sup>VIII</sup>, equal or different from each other, have the same meaning of R<sup>I</sup>-R<sup>VI</sup> except that they cannot be hydrogen; and wherein one or more of the R<sup>I</sup>-R<sup>VIII</sup> groups can be linked to form a cycle and (ii) esters of organic mono or dicarboxylic acids.

18. (Amended) The [A solid] catalyst component according to claim 17 in which the additional electron donor compound is selected from the group consisting of phthalates [or] and the 1,3-diethers of formula (II) in which R<sup>VII</sup> and R<sup>VIII</sup> are selected from C<sub>1</sub>-C<sub>4</sub> alkyl radicals, R<sup>III</sup> and R<sup>IV</sup> form a condensed unsaturated cycle and R<sup>I</sup>, R<sup>II</sup>, R<sup>V</sup> and R<sup>VI</sup> are hydrogen.

19. (Amended) A catalyst for the polymerization of olefins CH<sub>2</sub>=CHR, in which R is hydrogen or a hydrocarbyl radical with 1-12 carbon atoms, comprising the product of the reaction between:

(a) [the solid catalyst component of any of the claims 1-18] a solid catalyst component for the polymerization of olefins CH<sub>2</sub>=CHR, in which R is hydrogen or a hydrocarbyl radical with 1-12

carbon atoms, comprising Mg, Ti, halogen and an electron donor selected from succinates of formula (I):



wherein the radicals  $R_1$  and  $R_2$ , equal to or different from each other, are a  $C_1$ - $C_{20}$  linear or branched alkyl, alkenyl, cycloalkyl, aryl, arylalkyl or alkylaryl group, optionally containing heteroatoms; the radicals  $R_3$  to  $R_6$  equal to or different from each other, are hydrogen or a  $C_1$ - $C_{20}$  linear or branched alkyl, alkenyl, cycloalkyl, aryl, arylalkyl or alkylaryl group, optionally containing heteroatoms, and the radicals  $R_3$  to  $R_6$  which are joined to the same carbon atom can be linked together to form a cycle; with the proviso that when  $R_3$  to  $R_5$  are contemporaneously hydrogen,  $R_6$  is a radical selected from the group consisting of primary branched, secondary and tertiary alkyl groups, cycloalkyl, aryl, arylalkyl and alkylaryl groups having from 3 to 20 carbon atoms;

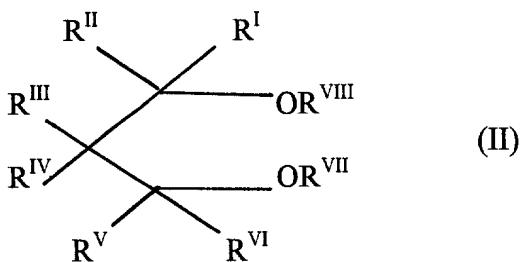
20  
25  
29

(b) an alkylaluminum compound and, optionally,  
(c) one or more external electron donor compounds [(external donor)].

In claim 20, line 1, delete "Catalyst" and substitute therefor --The catalyst--.

21. (Amended) The [C]catalyst according to claim 20 in which the trialkylaluminum compound is selected from the group consisting of triethylaluminum, triisobutylaluminum, tri-n-butylaluminum, tri-n-hexylaluminum, and tri-n-octylaluminum.

22. (Amended) The [C]catalyst according to claim 19 in which the external donor (c) is selected from the 1,3-diethers of the general formula (II):



wherein  $R^I$ ,  $R^{II}$ ,  $R^{III}$ ,  $R^{IV}$ ,  $R^V$  and  $R^{VI}$ , [are] equal or different [to] from each other, are hydrogen or hydrocarbon radicals having from 1 to 18 carbon atoms, and  $R^{VII}$  and  $R^{VIII}$ , equal or different from each other, have the same meaning of  $R^I-R^{VI}$  except that they cannot be hydrogen; and wherein one or more of the  $R^I-R^{VIII}$  groups can be linked to form a cycle.

In claim 23, line 1, delete “Catalyst” and substitute therefor --The catalyst--.

In claim 24, line 1, delete “Catalyst” and substitute therefor --The catalyst--.

25. (Amended) The [C]catalyst according to claim 19 in which the external donor (c) is a silicon compound of the formula  $R_a^7R_b^8Si(OR^9)_c$ , [where] wherein a and b are integers from 0 to 2, c is an integer from 1 to 4 and the sum (a+b+c) is 4[;], and  $R^7$ ,  $R^8$  and  $R^9$  are [C1-C18] C<sub>1</sub>-C<sub>18</sub> hydrocarbon groups optionally containing heteroatoms.

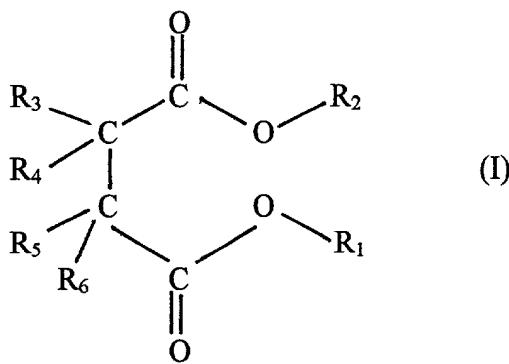
In claim 26, line 1, delete “Catalyst” and substitute therefor --The catalyst--.

27. (Amended) The [C]catalyst according to claim 25 [or 26] in which  $R^7$  and/or  $R^8$  are branched alkyl, cycloalkyl or aryl groups with 3-10 carbon atoms optionally containing heteroatoms and  $R^9$  is a C<sub>1</sub>-C<sub>10</sub> alkyl group [in particular methyl].

In claim 28, line 1, delete “Catalyst” and substitute therefor --The catalyst--.

29. (Amended) A catalyst for the polymerization of olefins  $CH_2=CHR$ , in which R is hydrogen or a hydrocarbyl radical with 1-12 carbon atoms, comprising the product of the reaction between:

- (i) a solid catalyst component comprising Mg, Ti, halogen and an internal electron donor (d);
- 5 (ii) an alkylaluminum compound and,
- (iii) a succinate of formula (I):



15 wherein the radicals  $R_1$  and  $R_2$ , equal to or different from each other, are a  $C_1$ - $C_{20}$  linear or branched alkyl, alkenyl, cycloalkyl, aryl, arylalkyl or alkylaryl group, optionally containing heteroatoms; the radicals  $R_3$  to  $R_6$  equal to or different from each other, are hydrogen or a  $C_1$ - $C_{20}$  linear or branched alkyl, alkenyl, cycloalkyl, aryl, arylalkyl or alkylaryl group, optionally containing heteroatoms, and the radicals  $R_3$  to  $R_6$  which are joined to the same carbon atom can be linked together to form a cycle; with the proviso that when  $R_3$  to  $R_5$  are contemporaneously hydrogen,  $R_6$  is a radical selected from the group consisting of primary branched, secondary and tertiary alkyl groups, cycloalkyl, aryl, arylalkyl and alkylaryl groups having from 3 to 20 carbon atoms.

20  
25  
30  
35  
40  
45  
50  
55  
60  
65  
70  
75  
80  
85  
90  
95  
100

In claim 30, line 1, delete "Catalyst" and substitute therefor --The catalyst--.

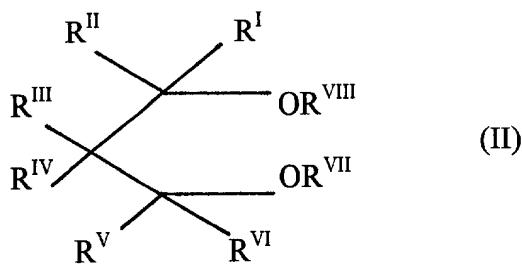
In claim 31, line 1, delete "Catalyst" and substitute therefor --The catalyst--

In claim 31, line 1, delete "component".

In claim 32, line 1, delete "Catalyst" and insert instead --The catalyst--.

In claim 32, line 1, after "from" insert --the group consisting of--.

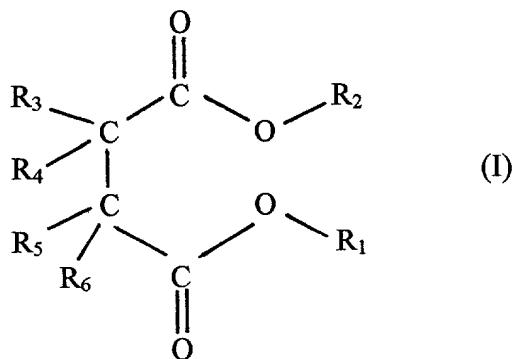
33. (Amended) The [C]catalyst according to claim 32 in which the internal donor (d) is selected from the group consisting of (i) 1,3-propanediethers of formula (II):



wherein R<sup>I</sup>, R<sup>II</sup>, R<sup>III</sup>, R<sup>IV</sup>, R<sup>V</sup> and R<sup>VI</sup>, equal or different from each other, are hydrogen or hydrocarbon radicals having from 1 to 18 carbon atoms, and R<sup>VII</sup> and R<sup>VIII</sup>, equal or different from each other, have the same meaning of R<sup>I</sup>-R<sup>VI</sup> except that they cannot be hydrogen; and wherein one or more of the R<sup>I</sup>-R<sup>VIII</sup> groups can be linked to form a cycle and (ii) esters of organic mono or dicarboxylic acids.

34. (Amended) The [C]catalyst according to claim 33 in which the internal donor (d) is selected from the group consisting of phthalates [or] and the 1,3-diethers of formula (II) in which R<sup>VII</sup> and R<sup>VIII</sup> are selected from C<sub>1</sub>-C<sub>4</sub> alkyl radicals, R<sup>III</sup> and R<sup>IV</sup> form a condensed unsaturated cycle and R<sup>I</sup>, R<sup>II</sup>, R<sup>V</sup> and R<sup>VI</sup> are hydrogen.

35. (Amended) A prepolymerized catalyst component for the polymerization of olefins CH<sub>2</sub>=CHR, wherein R is hydrogen or a C<sub>1</sub>-C<sub>12</sub> alkyl group, [characterized by comprising a solid catalyst component according to claim 1-10] wherein the prepolymerized catalyst component comprises a solid for the polymerization of olefins CH<sub>2</sub>=CHR, in which R is hydrogen or a hydrocarbyl radical with 1-12 carbon atoms, comprising Mg, Ti, halogen and an electron donor selected from succinates of formula (I):



15 wherein the radicals R<sub>1</sub> and R<sub>2</sub>, equal to or different from each other, are a C<sub>1</sub>-C<sub>20</sub> linear or branched alkyl, alkenyl, cycloalkyl, aryl, arylalkyl or alkylaryl group, optionally containing

20 heteroatoms; the radicals R<sub>3</sub> to R<sub>6</sub> equal to or different from each other, are hydrogen or a C<sub>1</sub>-C<sub>20</sub> linear or branched alkyl, alkenyl, cycloalkyl, aryl, arylalkyl or alkylaryl group, optionally containing heteroatoms, and the radicals R<sub>3</sub> to R<sub>6</sub> which are joined to the same carbon atom can be linked together to form a cycle; with the proviso that when R<sub>3</sub> to R<sub>5</sub> are contemporaneously hydrogen, R<sub>6</sub> is a radical selected from the group consisting of primary branched, secondary and tertiary alkyl groups, cycloalkyl, aryl, arylalkyl and alkylaryl groups having from 3 to 20 carbon atoms and which solid for the polymerization of olefins CH<sub>2</sub>=CHR has been prepolymerized with an olefin to such an extent that the amount of the olefin pre-polymer is from 0.2 to 500 g

25 per g of solid catalyst component.

In claim 36, line 1, delete “Prepolymerized” and substitute therefor --The prepolymerized--.

37. (Amended) A [P]process for the (co)polymerization of olefins CH<sub>2</sub>=CHR, in which R is hydrogen or a hydrocarbyl radical with 1-12 carbon atoms, carried out in the presence of [any of] the catalyst[s] of [claims 19-36] claim 19.

In claim 38, line 1, delete “Process” and substitute therefor --The process--.

In claim 38, line 1, after “from” insert --the group consisting of--.

40. (Amended) The [P]propylene polymers according to claim 39 in which the polydispersity index is higher than 5.1, the flexural modulus is higher than 2100 MPa and the [percent of propylene units in form of] content of isotactic units expressed in terms of pentads is higher than 97.5%.

Please add the following new claims:

41. (New) The catalyst according to claim 27 wherein R<sup>9</sup> is a methyl group.

42. (New) A process for the (co)polymerization of olefins CH<sub>2</sub>=CHR, in which R is hydrogen or a hydrocarbyl radical with 1-12 carbon atoms, carried out in the presence of the catalyst of claim 29.

43. (New) A process for the (co)polymerization of olefins CH<sub>2</sub>=CHR, in which R is hydrogen or a hydrocarbyl radical with 1-12 carbon atoms, carried out in the presence of the catalyst of claim 35.

Remarks

This application is filed pursuant to 35 USC §371 and claims priority to EP 99201172.6 filed April 12, 1999. Certain changes have been made to the claims and new claims have been added, the new claims finding support in the specification of the instant application.

This application as filed contains claims 1-43. Claims 1-40 are original claims, and these claims have been amended to comply with United States practice. Claims 41-43 are new and have been added as a result of the amendments made to certain of claims 1-40. Support for the new claims is found in the specification of the present application at pages 2 and 41. No new matter is added by this amendment.

An early and favorable action on the merits is solicited earnestly.

Should the Examiner have questions or comments regarding this application or this amendment, Applicants' attorney would welcome the opportunity to discuss the case with the Examiner.

Respectfully submitted,

**GIAMPIERO MORINI ET AL.**

By Margaret S. Millikin  
Margaret S. Millikin  
Registration No. 38,969  
Attorney for Applicants

912 Appleton Road  
Elkton, Maryland 21921

February 5, 2001

Attorney's Telephone No.: 410-996-1646  
Attorney's Facsimile No.: 410-996-1560

I hereby certify that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" in an envelope addressed to: Attention: Office of Petitions, Box DAC, Commissioner for Patents, Washington, DC 2001 on February 5, 2001 with the number of the Express Mail label being EK794120573US.

Jolene A. Dutten  
Jolene A. Dutten

09/762363

**"COMPONENTS AND CATALYSTS FOR THE POLYMERIZATION OF OLEFINS"**

The present invention relates to catalyst components for the polymerization of olefins, to the catalyst obtained therefrom and to the use of said catalysts in the polymerization of olefins  $\text{CH}_2=\text{CHR}$  in which R is hydrogen or a hydrocarbyl radical with 1-12 carbon atoms. In particular the present invention relates to catalyst components, suitable for the stereospecific polymerization of olefins, comprising Ti, Mg, halogen and an electron donor compound selected from esters of substituted succinic acids (substituted succinates). Said catalyst components when used in the polymerization of olefins, and in particular of propylene, are capable to give polymers in high yields and with high isotactic index expressed in terms of high xylene insolubility.

The chemical class of succinates is known in the art. However, the specific succinates of the present invention have never been used as internal electron donors in catalysts for the polymerization of olefins.

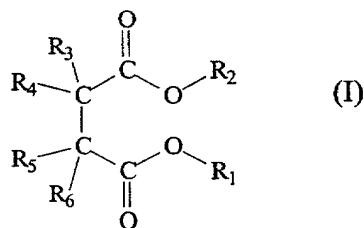
EP-A-86473 mentions the use of unsubstituted succinates as internal donors in catalyst components for the polymerization of olefins. The use of diisobutyl succinate and di-n-butyl succinate is also exemplified. The results obtained in terms of isotactic index and yields are however poor.

The use of polycarboxylic acid esters, including succinates, as internal donors in catalyst components for the polymerization of olefins, is also generically disclosed in EP 125911. Diethyl methylsuccinate and diallyl ethylsuccinate are mentioned in the description although they are not exemplified. Furthermore, EP263718 mentions, but does not exemplify the use of diethyl methylsuccinate and di-n-butyl ethylsuccinate as internal donors. In order to check the performances of these succinates according to the teaching of the art the applicant has carried out some polymerization tests employing catalyst components containing diethyl methylsuccinate and diisobutyl ethylsuccinate, respectively, as internal donors. As shown in the experimental section, both the so obtained catalysts gave an unsatisfactory activity/stereospecificity balance very similar to that obtained with catalysts containing unsubstituted succinates.

It has been therefore very surprising to discover that the specific substitution in the succinates of the invention generates compounds that, when used as internal donors, give catalyst components having excellent activity and stereospecificity.

It is therefore an object of the present invention to provide a solid catalyst component for the

polymerization of olefins  $\text{CH}_2=\text{CHR}$  in which R is hydrogen or a hydrocarbon radical with 1-12 carbon atoms, comprising Mg, Ti, halogen and an electron donor selected from succinates of formula (I):



wherein the radicals  $R_1$  and  $R_2$ , equal to, or different from, each other are a  $C_1\text{-}C_{20}$  linear or branched alkyl, alkenyl, cycloalkyl, aryl, arylalkyl or alkylaryl group, optionally containing heteroatoms; the radicals  $R_3$  to  $R_6$  equal to, or different from, each other, are hydrogen or a  $C_1\text{-}C_{20}$  linear or branched alkyl, alkenyl, cycloalkyl, aryl, arylalkyl or alkylaryl group, optionally containing heteroatoms, and the radicals  $R_3$  to  $R_6$  which are joined to the same carbon atom can be linked together to form a cycle; with the proviso that when  $R_3$  to  $R_5$  are contemporaneously hydrogen  $R_6$  is a radical selected from primary branched, secondary or tertiary alkyl groups, cycloalkyl, aryl, arylalkyl or alkylaryl groups having from 3 to 20 carbon atoms.

$R_1$  and  $R_2$  are preferably  $C_1\text{-}C_8$  alkyl, cycloalkyl, aryl, arylalkyl and alkylaryl groups. Particularly preferred are the compounds in which  $R_1$  and  $R_2$  are selected from primary alkyls and in particular branched primary alkyls. Examples of suitable  $R_1$  and  $R_2$  groups are methyl, ethyl, n-propyl, n-butyl, isobutyl, neopentyl, 2-ethylhexyl. Particularly preferred are ethyl, isobutyl, and neopentyl. One of the preferred groups of compounds described by the formula (I) is that in which  $R_3$  to  $R_5$  are hydrogen and  $R_6$  is a branched alkyl, cycloalkyl, aryl, arylalkyl and alkylaryl radical having from 3 to 10 carbon atoms. Particularly preferred are the compounds in which  $R_6$  is a branched primary alkyl group or a cycloalkyl group having from 3 to 10 carbon atoms.

Specific examples of suitable monosubstituted succinate compounds are diethyl sec-butylsuccinate, diethyl thexylsuccinate, diethyl cyclopropylsuccinate, diethyl norbornylsuccinate, diethyl (10-)perhydronaphthylsuccinate, diethyl trimethylsilylsuccinate, diethyl methoxysuccinate, diethyl p-methoxyphenylsuccinate, diethyl p-chlorophenylsuccinate

diethyl phenylsuccinate, diethyl cyclohexylsuccinate, diethyl benzylsuccinate, diethyl (cyclohexylmethyl)succinate, diethyl t-butylsuccinate, diethyl isobutylsuccinate, diethyl isopropylsuccinate, diethyl neopentylsuccinate, diethyl isopentylsuccinate, diethyl (1,1,1-trifluoro-2-propyl)succinate, diethyl (9-fluorenyl)succinate, diisobutyl phenylsuccinate, diisobutyl sec-butylsuccinate, diisobutyl thexylsuccinate, diisobutyl cyclopropylsuccinate, diisobutyl (2-norbornyl)succinate, diisobutyl (10-)perhydronaphthylsuccinate, diisobutyl trimethylsilylsuccinate, diisobutyl methoxysuccinate, diisobutyl p-methoxyphenylsuccinate, diisobutyl p-chlorophenylsuccinate, diisobutyl cyclohexylsuccinate, diisobutyl benzylsuccinate, diisobutyl (cyclohexylmethyl)succinate, diisobutyl t-butylsuccinate, diisobutyl isobutylsuccinate, diisobutyl isopropylsuccinate, diisobutyl neopentylsuccinate, diisobutyl isopentylsuccinate, diisobutyl (1,1,1-trifluoro-2-propyl)succinate, diisobutyl (9-fluorenyl)succinate, diisopentyl sec-butylsuccinate, diisopentyl thexylsuccinate, diisopentyl cyclopropylsuccinate, diisopentyl (2-norbornyl)succinate, diisopentyl (10-)perhydronaphthylsuccinate, diisopentyl trimethylsilylsuccinate, diisopentyl methoxysuccinate, diisopentyl p-methoxyphenylsuccinate, diisopentyl p-chlorophenylsuccinate, diisopentyl phenylsuccinate, diisopentyl cyclohexylsuccinate, diisopentyl benzylsuccinate, diisopentyl (cyclohexylmethyl)succinate, diisopentyl t-butylsuccinate, diisopentyl isobutylsuccinate, diisopentyl isopropylsuccinate, diisopentyl neopentylsuccinate, diisopentyl isopentylsuccinate, diisopentyl (1,1,1-trifluoro-2-propyl)succinate, diisopentyl (9-fluorenyl)succinate.

Another preferred group of compounds within those of formula (I) is that in which at least two radicals from  $R_3$  to  $R_6$  are different from hydrogen and are selected from  $C_1$ - $C_{20}$  linear or branched alkyl, alkenyl, cycloalkyl, aryl, arylalkyl or alkylaryl group, optionally containing heteroatoms. Particularly preferred are the compounds in which the two radicals different from hydrogen are linked to the same carbon atom. Specific examples of suitable 2,2-disubstituted succinates are: diethyl 2,2-dimethylsuccinate, diethyl 2-ethyl-2-methylsuccinate, diethyl 2-benzyl-2-isopropylsuccinate, diethyl 2-(cyclohexylmethyl)-2-isobutylsuccinate, diethyl 2-cyclopentyl-2-n-propylsuccinate, diethyl 2,2-diisobutylsuccinate, diethyl 2-cyclohexyl-2-ethylsuccinate, diethyl 2-isopropyl-2-methylsuccinate, diethyl 2,2-diisopropyl diethyl 2-isobutyl-2-ethylsuccinate, diethyl 2-(1,1,1-trifluoro-2-propyl)-2-methylsuccinate, diethyl 2-

isopentyl-2-isobutylsuccinate, diethyl 2-phenyl-2-n-butylsuccinate, diisobutyl 2,2-dimethylsuccinate, diisobutyl 2-ethyl-2-methylsuccinate, diisobutyl 2-benzyl-2-isopropylsuccinate, diisobutyl 2-(cyclohexylmethyl)-2-isobutylsuccinate, diisobutyl 2-cyclopentyl-2-n-propylsuccinate, diisobutyl 2,2-diisobutylsuccinate, diisobutyl 2-cyclohexyl-2-ethylsuccinate, diisobutyl 2-isopropyl-2-methylsuccinate, diisobutyl 2-isobutyl-2-ethylsuccinate, diisobutyl 2-(1,1,1-trifluoro-2-propyl)-2-methylsuccinate, diisobutyl 2-isopentyl-2-isobutylsuccinate, diisobutyl 2,2-diisopropylsuccinate, diisobutyl 2-phenyl-2-n-propylsuccinate, dineopentyl 2,2-dimethylsuccinate, dineopentyl 2-ethyl-2-methylsuccinate, dineopentyl 2-benzyl-2-isopropylsuccinate, dineopentyl 2-(cyclohexylmethyl)-2-isobutylsuccinate, dineopentyl 2-cyclopentyl-2-n-propylsuccinate, dineopentyl 2,2-diisobutylsuccinate, dineopentyl 2-cyclohexyl-2-ethylsuccinate, dineopentyl 2-isopropyl-2-methylsuccinate, dineopentyl 2-isobutyl-2-ethylsuccinate, dineopentyl 2-(1,1,1-trifluoro-2-propyl)-2-methylsuccinate, dineopentyl 2,2-diisopropylsuccinate, dineopentyl 2-isopentyl-2-isobutylsuccinate, dineopentyl 2-phenyl-2-n-butylsuccinate.

Furthermore, also the compounds in which at least two radicals different from hydrogen are linked to different carbon atoms, that is  $R_3$  and  $R_5$  or  $R_4$  and  $R_6$  are particularly preferred. Specific examples of suitable compounds are: diethyl 2,3-bis(trimethylsilyl)succinate, diethyl 2,2-sec-butyl-3-methylsuccinate, diethyl 2-(3,3,3-trifluoropropyl)-3-methylsuccinate, diethyl 2,3-bis(2-ethylbutyl)succinate, diethyl 2,3-diethyl-2-isopropylsuccinate, diethyl 2,3-diisopropyl-2-methylsuccinate, diethyl 2,3-dicyclohexyl-2-methylsuccinate, diethyl 2,3-dibenzylsuccinate, diethyl 2,3-diisopropylsuccinate, diethyl 2,3-bis(cyclohexylmethyl)succinate, diethyl 2,3-di-t-butylsuccinate, diethyl 2,3-diisobutylsuccinate, diethyl 2,3-dineopentylsuccinate, diethyl 2,3-diisopentylsuccinate, diethyl 2,3-(1-trifluoromethyl-ethyl)succinate, diethyl 2,3-(9-fluorenyl)succinate, diethyl 2-isopropyl-3-isobutylsuccinate, diethyl 2-t-butyl-3-isopropylsuccinate, diethyl 2-isopropyl-3-cyclohexylsuccinate, diethyl 2-isopentyl-3-cyclohexylsuccinate, diethyl 2-cyclohexyl-3-cyclopentylsuccinate, diethyl 2,2,3,3-tetramethylsuccinate, diethyl 2,2,3,3-tetraethylsuccinate, diethyl 2,2,3,3-tetrapropylsuccinate, diethyl 2,3-diethyl-2,3-diisopropylsuccinate, diisobutyl 2,3-bis(trimethylsilyl)succinate, diisobutyl 2,2-sec-butyl-3-methylsuccinate, diisobutyl 2-(3,3,3-trifluoropropyl)-3-methylsuccinate, diisobutyl 2,3-bis(2-ethylbutyl)succinate, diisobutyl 2,3-bis(2-ethylbutyl)succinate, diisobutyl 2,3-diethyl-2-

isopropylsuccinate, diisobutyl 2,3-diisopropyl-2-methylsuccinate, diisobutyl 2,3-dicyclohexyl-2-methylsuccinate, diisobutyl 2,3-dibenzylsuccinate, diisobutyl 2,3-diisopropylsuccinate, diisobutyl 2,3-bis(cyclohexylmethyl)succinate, diisobutyl 2,3-di-t-butylsuccinate, diisobutyl 2,3-diisobutylsuccinate, diisobutyl 2,3-dineopentylsuccinate, diisobutyl 2,3-diisopentylsuccinate, diisobutyl 2,3-(1,1,1-trifluoro-2-propyl)succinate, diisobutyl 2,3-n-propylsuccinate, diisobutyl 2,3-(9-fluorenyl)succinate, diisobutyl 2-isopropyl-3-ibutylsuccinate, diisobutyl 2-terbutyl-3-ispopylsuccinate, diisobutyl 2-isopropyl-3-cyclohexylsuccinate, diisobutyl 2-isopentyl-3-cyclohexylsuccinate, diisobutyl 2-n-propyl-3-(cyclohexylmethyl)succinate, diisobutyl 2-cyclohexyl-3-cyclopentylsuccinate, diisobutyl 2,2,3,3-tetramethylsuccinate, diisobutyl 2,2,3,3-tetraethylsuccinate, diisobutyl 2,2,3,3-tetrapropylsuccinate, diisobutyl 2,3-diethyl-2,3-diisopropylsuccinate, dineopentyl 2,3-bis(trimethylsilyl)succinate, dineopentyl 2,2-di-sec-butyl-3-methylsuccinate, dineopentyl 2-(3,3,3-trifluoropropyl)-3-methylsuccinate, dineopentyl 2,3 bis(2-ethylbutyl)succinate, dineopentyl 2,3-diethyl-2-isopropylsuccinate, dineopentyl 2,3-diisopropyl-2-methylsuccinate, dineopentyl 2,3-dicyclohexyl-2-methylsuccinate, dineopentyl 2,3-dibenzylsuccinate, dineopentyl 2,3-diisopropylsuccinate, dineopentyl 2,3-bis(cyclohexylmethyl)succinate, dineopentyl 2,3-di-t-butylsuccinate, dineopentyl 2,3-diisobutylsuccinate, dineopentyl 2,3-dineopentylsuccinate, dineopentyl 2,3-(1,1,1-trifluoro-2-propyl)succinate, dineopentyl 2,3-n-propylsuccinate, dineopentyl 2,3-(9-fluorenyl)succinate, dineopentyl 2-isopropyl-3-isobutylsuccinate, dineopentyl 2-t-butyl-3-isopropylsuccinate, dineopentyl 2-isopropyl-3-cyclohexylsuccinate, dineopentyl 2-n-propyl-3-(cyclohexylmethyl)succinate, dineopentyl 2-cyclohexyl-3-cyclopentylsuccinate, dineopentyl 2,2,3,3-tetramethylsuccinate, dineopentyl 2,2,3,3-tetraethylsuccinate, dineopentyl 2,2,3,3-tetrapropylsuccinate, dineopentyl 2,3-diethyl-2,3-diisopropylsuccinate.

As mentioned above the compounds according to formula (I) in which two or four of the radicals  $R_3$  to  $R_6$  which are joined to the same carbon atom are linked together to form a cycle are also preferred.

Specific examples of suitable compounds are 1-(ethoxycarbonyl)-1-(ethoxyacetyl)-2,6-dimethylcyclohexane, 1-(ethoxycarbonyl)-1-(ethoxyacetyl)-2,5-dimethylcyclopentane, 1-

(ethoxycarbonyl)-1-(ethoxyacetyl)methyl)-2-methylcyclohexane, 1-(ethoxycarbonyl)-1-(ethoxy(cyclohexyl)acetyl)cyclohexane.

It is easily derivable for the ones skilled in the art that all the above mentioned compounds can be used either in form of pure stereoisomers or in the form of mixtures of enantiomers, or mixture of diastereoisomers and enantiomers. When a pure isomer is to be used it is normally isolated using the common techniques known in the art. In particular some of the succinates of the present invention can be used as a pure *rac* or *meso* forms, or as mixtures thereof, respectively.

As explained above, the catalyst components of the invention comprise, in addition to the above electron donors, Ti, Mg and halogen. In particular, the catalyst components comprise a titanium compound, having at least a Ti-halogen bond and the above mentioned electron donor compound supported on a Mg halide. The magnesium halide is preferably  $MgCl_2$  in active form which is widely known from the patent literature as a support for Ziegler-Natta catalysts. Patents USP 4,298,718 and USP 4,495,338 were the first to describe the use of these compounds in Ziegler-Natta catalysis. It is known from these patents that the magnesium dihalides in active form used as support or co-support in components of catalysts for the polymerization of olefins are characterized by X-ray spectra in which the most intense diffraction line that appears in the spectrum of the non-active halide is diminished in intensity and is broadened to form a halo.

The preferred titanium compounds used in the catalyst component of the present invention are  $TiCl_4$  and  $TiCl_3$ ; furthermore, also Ti-haloalcoholates of formula  $Ti(OR)_{n-y}X_y$ , where n is the valence of titanium, X is halogen and y is a number between 1 and n, can be used.

The preparation of the solid catalyst component can be carried out according to several methods. According to one of these methods, the magnesium dichloride in an anhydrous state and the succinate of formula (I) are milled together under conditions in which activation of the magnesium dichloride occurs. The so obtained product can be treated one or more times with an excess of  $TiCl_4$  at a temperature between 80 and 135 °C. This treatment is followed by washings with hydrocarbon solvents until chloride ions disappeared. According to a further method, the product obtained by co-milling the magnesium chloride in an anhydrous state, the titanium compound and the  $\beta$ -substituted succinate is treated with halogenated hydrocarbons such as 1,2-dichloroethane, chlorobenzene, dichloromethane, etc. The treatment is carried out for a time

between 1 and 4 hours and at temperature of from 40 °C to the boiling point of the halogenated hydrocarbon. The product obtained is then generally washed with inert hydrocarbon solvents such as hexane.

According to another method, magnesium dichloride is preactivated according to well known methods and then treated with an excess of  $TiCl_4$  at a temperature of about 80 to 135 °C which contains, in solution, a succinate of formula (I). The treatment with  $TiCl_4$  is repeated and the solid is washed with hexane in order to eliminate any non-reacted  $TiCl_4$ .

A further method comprises the reaction between magnesium alcoholates or chloroalcoholates (in particular chloroalcoholates prepared according to U.S. 4,220,554) and an excess of  $TiCl_4$  comprising the succinate of formula (I) in solution at a temperature of about 80 to 120 °C.

According to a preferred method, the solid catalyst component can be prepared by reacting a titanium compound of formula  $Ti(OR)_{n-y}X_y$ , where n is the valence of titanium and y is a number between 1 and n, preferably  $TiCl_4$ , with a magnesium chloride deriving from an adduct of formula  $MgCl_2 \bullet pROH$ , where p is a number between 0.1 and 6, preferably from 2 to 3.5, and R is a hydrocarbon radical having 1-18 carbon atoms. The adduct can be suitably prepared in spherical form by mixing alcohol and magnesium chloride in the presence of an inert hydrocarbon immiscible with the adduct, operating under stirring conditions at the melting temperature of the adduct (100-130 °C). Then, the emulsion is quickly quenched, thereby causing the solidification of the adduct in form of spherical particles. Examples of spherical adducts prepared according to this procedure are described in USP 4,399,054 and USP 4,469,648. The so obtained adduct can be directly reacted with the Ti compound or it can be previously subjected to thermally controlled dealcoholation (80-130 °C) so as to obtain an adduct in which the number of moles of alcohol is generally lower than 3 preferably between 0.1 and 2.5. The reaction with the Ti compound can be carried out by suspending the adduct (dealcoholated or as such) in cold  $TiCl_4$  (generally 0 °C); the mixture is heated up to 80-130 °C and kept at this temperature for 0.5-2 hours. The treatment with  $TiCl_4$  can be carried out one or more times. The succinate of formula (I) can be added during the treatment with  $TiCl_4$ . The treatment with the electron donor compound can be repeated one or more times.

The preparation of catalyst components in spherical form is described for example in European Patent Applications EP-A-395083, EP-A-553805, EP-A-553806, EPA-601525 and WO98/44009.

The solid catalyst components obtained according to the above method show a surface area (by B.E.T. method) generally between 20 and 500 m<sup>2</sup>/g and preferably between 50 and 400 m<sup>2</sup>/g, and a total porosity (by B.E.T. method) higher than 0.2 cm<sup>3</sup>/g preferably between 0.2 and 0.6 cm<sup>3</sup>/g. The porosity (Hg method) due to pores with radius up to 10000 Å generally ranges from 0.3 to 1.5 cm<sup>3</sup>/g, preferably from 0.45 to 1 cm<sup>3</sup>/g.

A further method to prepare the solid catalyst component of the invention comprises halogenating magnesium dihydrocarbyloxide compounds, such as magnesium dialkoxide or diaryloxide, with solution of TiCl<sub>4</sub> in aromatic hydrocarbon (such as toluene, xylene, etc.) at temperatures between 80 and 130 °C. The treatment with TiCl<sub>4</sub> in aromatic hydrocarbon solution can be repeated one or more times, and the  $\beta$ -substituted succinate is added during one or more of these treatments.

In any of these preparation methods the desired succinate of formula (I) can be added as such or, in an alternative way, it can be obtained *in situ* by using an appropriate precursor capable to be transformed in the desired electron donor compound by means, for example, of known chemical reactions such as esterification, transesterification, etc. Generally, the succinate of formula (I) is used in molar ratio with respect to the MgCl<sub>2</sub> of from 0.01 to 1 preferably from 0.05 to 0.5. Moreover, and this constitutes another object of the present invention, it has been found that interesting results are obtained when others internal electron donor compounds are used together with the succinates of formula (I). The additional electron donor compound can be the same as the electron donor (d) disclosed below. In particular very good results have been obtained when the 1,3-diethers of formula (II) below are used as internal donors together with a succinate of formula (I).

The solid catalyst components according to the present invention are converted into catalysts for the polymerization of olefins by reacting them with organoaluminum compounds according to known methods.

In particular, it is an object of the present invention a catalyst for the polymerization of olefins CH<sub>2</sub>=CHR, in which R is hydrogen or a hydrocarbyl radical with 1-12 carbon atoms, comprising the product of the reaction between:

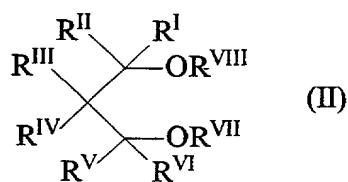
- (a) a solid catalyst component comprising a Mg, Ti and halogen and an electron donor selected from succinates of formula (I);
- (b) an alkylaluminum compound and, optionally,

(c) one or more electron donor compounds (external donor).

The alkylaluminum compound (b) is preferably selected from the trialkyl aluminum compounds such as for example triethylaluminum, triisobutylaluminum, tri-n-butylaluminum, tri-n-hexylaluminum, tri-n-octylaluminum. It is also possible to use mixtures of trialkylaluminum's with alkylaluminum halides, alkylaluminum hydrides or alkylaluminum sesquichlorides such as  $\text{AlEt}_2\text{Cl}$  and  $\text{Al}_2\text{Et}_3\text{Cl}_3$ . Also alkylalumoxanes can be used.

It is a particular interesting aspect of the invention the fact that the above described catalysts are able to give polymers with high isotactic index even when the polymerization is carried out in the absence of an external donor (c). In particular, operating for example according to the working examples described below propylene polymers having an isotactic index around 96% are obtained without using an external donor compound. These kind of products are very interesting for applications in which the crystallinity of the polymer should not be at its maximum level. This particular behavior is very surprising in view of the fact that the esters of dicarboxylic acids known in the art, when used as internal donors, give polymers with a poor isotactic index when the polymerization is carried out in the absence of an external electron donor compound.

For applications in which a very high isotactic index is required the use of an external donor compound is normally advisable. The external donor (c) can be of the same type or it can be different from the succinate of formula (I). Preferred external electron donor compounds include silicon compounds, ethers, esters, such as ethyl 4-ethoxybenzoate, amines, heterocyclic compounds and particularly 2,2,6,6-tetramethylpiperidine, ketones and the 1,3-diethers of the general formula (II):



wherein  $\text{R}^1$ ,  $\text{R}^{\text{II}}$ ,  $\text{R}^{\text{III}}$ ,  $\text{R}^{\text{IV}}$ ,  $\text{R}^{\text{V}}$  and  $\text{R}^{\text{VI}}$  are equal or different to each other, are hydrogen or hydrocarbon radicals having from 1 to 18 carbon atoms, and  $\text{R}^{\text{VII}}$  and  $\text{R}^{\text{VIII}}$ , equal or different from each other, have the same meaning of  $\text{R}^1\text{-R}^{\text{VI}}$  except that they cannot be hydrogen; one or more of the  $\text{R}^1\text{-R}^{\text{VIII}}$  groups can be linked to form a cycle. Particularly preferred are the 1,3-diethers in

which  $R^{VII}$  and  $R^{VIII}$  are selected from  $C_1$ - $C_4$  alkyl radicals,  $R^{III}$  and  $R^{IV}$  form a condensed unsaturated cycle and  $R^I$ ,  $R^{II}$ ,  $R^V$  and  $R^{VI}$  are hydrogen. The use of 9,9-bis(methoxymethyl)fluorene is particularly preferred.

Another class of preferred external donor compounds is that of silicon compounds of formula  $R_a^7R_b^8Si(OR^9)_c$ , where a and b are integer from 0 to 2, c is an integer from 1 to 3 and the sum (a+b+c) is 4;  $R^7$ ,  $R^8$ , and  $R^9$ , are C1-C18 hydrocarbon groups optionally containing heteroatoms. Particularly preferred are the silicon compounds in which a is 1, b is 1, c is 2, at least one of  $R^7$  and  $R^8$  is selected from branched alkyl, alkenyl, alkylene, cycloalkyl or aryl groups with 3-10 carbon atoms optionally containing heteroatoms and  $R^9$  is a  $C_1$ - $C_{10}$  alkyl group, in particular methyl. Examples of such preferred silicon compounds are cyclohexylmethyldimethoxysilane, diphenyldimethoxysilane, methyl-t-butyldimethoxysilane, dicyclopentyldimethoxysilane, 2-ethylpiperidinyl-2-t-butyldimethoxysilane and (1,1,1-trifluoro-2-propyl)-2-ethylpiperidinyldimethoxysilane and (1,1,1-trifluoro-2-propyl)-methyldimethoxysilane. Moreover, are also preferred the silicon compounds in which a is 0, c is 3,  $R^8$  is a branched alkyl or cycloalkyl group, optionally containing heteroatoms, and  $R^9$  is methyl. Examples of such preferred silicon compounds are cyclohexyltrimethoxysilane, t-butytrimethoxysilane and thexytrimethoxysilane.

The electron donor compound (c) is used in such an amount to give a molar ratio between the organoaluminum compound and said electron donor compound (c) of from 0.1 to 500, preferably from 1 to 300 and more preferably from 3 to 100. As previously indicated, when used in the (co)polymerization of olefins, and in particular of propylene, the catalysts of the invention allow to obtain, with high yields, polymers having a high isotactic index (expressed by high xylene insolubility X.I.), thus showing an excellent balance of properties. This is particularly surprising in view of the fact that, as it can be seen from the comparative examples here below reported, the use as internal electron donors of  $\alpha$ -substituted or unsubstituted succinate compounds gives worse results in term of yields and/or xylene insolubility.

As mentioned above, the succinates of formula (I) can be used also as external donors with good results. In particular, it has been found that they are able to give very good results even when they are used as external electron donor compounds in combination with catalyst components containing an internal donor different from the succinates of formula (I). This is very surprising

because the esters of dicarboxylic acids known in the art are normally not able to give satisfactory results when used as external donors. On the contrary, the succinates of the formula (I) are able to give polymers still having a good balance between isotactic index and yields. It is therefore another object of the present invention a catalyst system for the polymerization of olefins  $\text{CH}_2=\text{CHR}$ , in which R is hydrogen or a hydrocarbyl radical with 1-12 carbon atoms, comprising the product of the reaction between:

- (i) a solid catalyst component comprising a Mg, Ti and halogen and an electron donor (d);
- (ii) an alkylaluminum compound and,
- (iii) a succinate of formula (I).

The aluminum alkyl compound (ii) has the same meanings of the aluminum compound (b) given above. The electron donor compound (d) can be selected from ethers, esters of organic mono or dicarboxylic acids, such as phthalates, benzoates, glutarates, succinates having a different structure from those of formula (I), amines. Preferably, it is selected from 1,3-propanediethers of formula (II) and esters of organic mono or dicarboxylic acids in particular phthalates.

As mentioned above all these catalysts can be used in the processes for the polymerization of olefins  $\text{CH}_2=\text{CHR}$ , in which R is hydrogen or a hydrocarbyl radical with 1-12 carbon atoms. The preferred  $\alpha$ -olefins to be (co)polymerized are ethene, propene, 1-butene, 4-methyl-1-pentene, 1-hexene and 1-octene. In particular, the above-described catalysts have been used in the (co)polymerization of propene and ethylene to prepare different kinds of products. For example the following products can be prepared: high density ethylene polymers (HDPE, having a density higher than 0.940 g/cm<sup>3</sup>), comprising ethylene homopolymers and copolymers of ethylene with  $\alpha$ -olefins having 3-12 carbon atoms; linear low density polyethylenes (LLDPE, having a density lower than 0.940 g/cm<sup>3</sup>) and very low density and ultra low density (VLDPE and ULDPE, having a density lower than 0.920 g/cm<sup>3</sup>, to 0.880 g/cm<sup>3</sup>) consisting of copolymers of ethylene with one or more  $\alpha$ -olefins having from 3 to 12 carbon atoms, having a mole content of units derived from the ethylene higher than 80%; elastomeric copolymers of ethylene and propylene and elastomeric terpolymers of ethylene and propylene with smaller proportions of a diene having a content by weight of units derived from the ethylene comprised between about 30 and 70%, isotactic polypropylenes and crystalline copolymers of propylene and ethylene and/or other  $\alpha$ -olefins having a content of units derived from propylene higher than 85% by weight (random

copolymers); shock resistant polymers of propylene obtained by sequential polymerization of propylene and mixtures of propylene with ethylene, containing up to 30% by weight of ethylene; copolymers of propylene and 1-butene having a number of units derived from 1-butene comprised between 10 and 40% by weight. Particularly interesting are the propylene polymers obtainable with the catalyst of the invention showing broad MWD coupled with high isotactic index and high modulus. In fact, said polymers having a polydispersity index of higher than 5, a content of isotactic units expressed in terms of pentads of higher than 97% and a flexural modulus of at least 2000 MPa. Preferably, the polydispersity index is higher than 5.1, the flexural modulus is higher than 2100 and the percent of propylene units in form of pentads is higher than 97.5%.

Any kind of polymerization process can be used with the catalysts of the invention that are very versatile. The polymerization can be carried out for example in slurry using as diluent an inert hydrocarbon solvent, or in bulk using the liquid monomer (for example propylene) as a reaction medium. Moreover, it is possible to carry out the polymerization process in gas-phase operating in one or more fluidized or mechanically agitated bed reactors.

The catalyst of the present invention can be used as such in the polymerization process by introducing it directly into the reactor. In the alternative, the catalyst can be pre-polymerized before being introduced into the first polymerization reactor. The term pre-polymerized, as used in the art, means a catalyst which has been subject to a polymerization step at a low conversion degree. According to the present invention a catalyst is considered to be pre-polymerized when the amount the polymer produced is from about 0.1 up to about 1000 g per gram of solid catalyst component.

The pre-polymerization can be carried out with the  $\alpha$ -olefins selected from the same group of olefins disclosed before. In particular, it is especially preferred pre-polymerizing ethylene or mixtures thereof with one or more  $\alpha$ -olefins in an amount up to 20% by mole. Preferably, the conversion of the pre-polymerized catalyst component is from about 0.2 g up to about 500 g per gram of solid catalyst component.

The pre-polymerization step can be carried out at temperatures from 0 to 80 °C preferably from 5 to 50 °C in liquid or gas-phase. The pre-polymerization step can be performed in-line as a part of a continuous polymerization process or separately in a batch process. The batch pre-polymerization of the catalyst of the invention with ethylene in order to produce an amount of

polymer ranging from 0.5 to 20 g per gram of catalyst component is particularly preferred. The polymerization is generally carried out at temperature of from 20 to 120 °C, preferably of from 40 to 80 °C. When the polymerization is carried out in gas-phase the operating pressure is generally between 0.5 and 10 MPa, preferably between 1 and 5 MPa. In the bulk polymerization the operating pressure is generally between 1 and 6 MPa preferably between 1.5 and 4 MPa. Hydrogen or other compounds capable to act as chain transfer agents can be used to control the molecular weight of polymer.

The following examples are given in order to better illustrate the invention without limiting it.

### **GENERAL PROCEDURES AND CHARACTERIZATIONS**

#### **Preparation of succinates: *general procedures***

Succinates can be prepared according to known methods described in the literature. Descriptive examples of procedures for the synthesis of the succinates exemplified in Table 1 are given below.

#### **Alkylation**

For literature see for example: N.R. Long and M.W. Rathke, *Synth. Commun.*, 11 (1981) 687; W.G. Kofron and L.G. Wideman, *J. Org. Chem.*, 37 (1972) 555.

#### **Diethyl 2,3-diethyl-2-isopropylsuccinate (ex. 23)**

To a mixture of 10 mL (72 mmol) of diisopropylamine in 250 mL of tetrahydrofuran (THF) was added 28.6 mL (72 mmol) of BuLi (2.5 molar in cyclohexanes) at -20 °C. After 20 minutes stirring, 9.2 g (83% pure) (28.3 mmol) of diethyl 2,3-diethylsuccinate was added at -40 °C and after addition the mixture was stirred for 2 h at room temperature. Then this mixture was cooled to -70 °C and a mixture of 4.3 mL (43 mmol) of 2-iodopropane and 7.4 mL (43 mmol) of hexamethylphosphoramide (HMPA) was added. After addition the cooling was removed and the mixture was stirred for four days. The volatiles were removed and 250 mL of ether was added. The organic layer was washed twice with 100 mL of water. The organic layer was isolated, dried over MgSO<sub>4</sub>, filtered and concentrated *in vacuo* yielding an orange oil. This oil was chromatographed over silica with CH<sub>2</sub>Cl<sub>2</sub> yielding 2.3 g (30%) of a 96% pure product. According to gas-chromatography (GC) only one isomer was present.

#### **Oxidative coupling**

For literature see for example: T.J. Brocksom, N. Petragnani, R. Rodrigues and H. La Scala

Teixeira, *Synthesis*, (1975) 396; E.N. Jacobsen, G.E. Totten, G. Wenke, A.C. Karydas, Y.E. Rhodes, *Synth. Commun.*, (1985) 301.

### **Diethyl 2,3-dipropylsuccinate (ex 18)**

To a mixture of 46 mL (0.33 mol) of diisopropylamine in 250 mL of THF was added 132 mL (0.33 mol) of BuLi (2.5 molar in cyclohexanes) at -20 °C. After 20 minutes stirring, 39 g (0.3 mol) of ethyl pentanoate was added at -70 °C and after addition the mixture was stirred for 1 h at this temperature. Then this mixture was added to a mixture of 33 mL (0.30 mol) of TiCl<sub>4</sub> and 200 mL of CH<sub>2</sub>Cl<sub>2</sub> at -70 °C keeping the temperature below -55 °C. After addition and subsequently 1 h stirring, the reaction mixture was quenched with 10 mL of water and then the temperature was slowly raised to room temperature. The volatiles were removed and 250 mL of ether was added. The organic layer was washed twice with 100 mL of water. The organic layer was isolated, dried over MgSO<sub>4</sub>, filtered and concentrated *in vacuo* yielding an orange oil (contained yield was 77%). This oil was distilled which gave two fractions. The best fraction that was obtained was 13.5 g (35%) and 98% pure. The second fraction was 7.5 g and 74% pure.

### **Reduction**

#### ***meso* Diethyl 2,3-dicyclohexylsuccinate (ex 22)**

A stainless-steel autoclave was charged with a mixture of 6.7 g (0.02 mol) of *meso* diethyl 2,3-diphenylsuccinate, 180 mL of isopropanol, and 0.23 g of a 5 wt.% Rh/C catalyst. The mixture was hydrogenated for 18 h at 70 °C under a hydrogen pressure of 20 bar. The mixture was filtered over Celite and concentrated under reduced pressure yielding 6.8 g (yield 97%) of 99% pure product.

### **Esterification**

For literature see for example: "Vogel's textbook of practical organic chemistry", 5<sup>th</sup> Edition (1989), pages 695 - 707.

### **Diethyl 2-phenylsuccinate (ex 1)**

A mixture of 50 g of DL-phenylsuccinic acid (0.257 mol), 90 mL (1.59 mol) of ethanol, 46 mL of toluene and 0.39 g of concentrated H<sub>2</sub>SO<sub>4</sub> was heated to 115 °C. An azeotropic mixture of ethanol, toluene and water was distilled over a column of 10 cm. When the distillation stopped the same amounts of ethanol and toluene was added. To obtain a complete

conversion this was repeated twice. The resulting oil was distilled at 114 °C (2·10<sup>-2</sup> mbar); yield 60.82 g (95%), purity 100%

### S<sub>N</sub>2 coupling

For literature see for example: N. Petragnani and M. Yonashiro, *Synthesis*, (1980) 710; J.L. Belletire, E.G. Spletzer, and A.R. Pinhas, *Tetrahedron Lett.*, 25 (1984) 5969.

### Diisobutyl 2,2,3-trimethylsuccinate (ex 14)

Isobutyric acid (14.6 mL, 157 mmol) was added to a freshly prepared lithium disopropyl amide (LDA) solution (see synthesis of succinate ex 23, 41 mL, 314 mmol of diisopropylamine and 126 mL of BuLi (2.5 M in hexanes; 314 mmol) and 1 L of THF) at 0 °C. This mixture was stirred at 0 °C for 15 minutes and subsequently for 4 h at 45 °C.

Meanwhile in a separate reaction vessel, a mixture of 14.1 mL (157 mmol) of 2-bromopropionic acid and 28 g (157 mmol) of HMPA was added to a suspension of 3.8 g (157 mmol) of NaH in 500 mL of THF at 0 °C while controlling the gas formation. After addition the mixture was stirred for 15 minutes at 0 °C. Then this mixture was added to the mixture of the lithium salt of isobutyric acid (described above) at 0 °C. After addition the mixture was stirred for 2 h at 35 °C. This mixture was quenched with 150 mL of a NaCl saturated 1 N HCl solution at 0 °C. This mixture was extracted twice with 100 mL of diethyl ether and the combined ether layers were extracted with 50 mL of a saturated NaCl solution. The organic layer was dried over MgSO<sub>4</sub> and concentrated *in vacuo* yielding a yellow oil. This oil was dissolved in 150 mL of isobutanol, 100 mL of toluene and 2 mL of concentrated H<sub>2</sub>SO<sub>4</sub>. This mixture was heated to reflux with a Dean Stark set-up to remove the water. After two days the conversion was complete. The reaction mixture was concentrated *in vacuo* and the resulting oil was distilled at 155 °C (75 mbar); yield 5.1 g (12%), purity 98%.

### Combined methods

Most of the succinates were prepared by a combination of methods described above. The different methods used for the synthesis of the succinates exemplified in Table 1 are further specified in Table A. The sequential order in which the methods were used is indicated alphabetically by a, b and c.

Table A

Succinate (for type see Table 1)	Methods of synthesis				
	Esterification	Alkylation	Reduction	oxidative coupling	S <sub>N</sub> 2 coupling
1	A				
2	A		b		
3	A		b		
4		A			
5		A	b		
12		A			
13		A	b		
14					a
15	a	B			
16	a	B	c		
18				a	
22	a		b		
23		B		a	
24		B		a	
25		B		a	
26	a	C	b		
27				a	
30					a

## Polymerization

### **Propylene polymerization: *general procedure***

In a 4 liter autoclave, purged with nitrogen flow at 70 °C for one our, 75 mL of anhydrous hexane containing 800 mg of AlEt<sub>3</sub>, 79.8 mg of dicyclopentyldimethoxysilane and 10 mg of solid catalyst component were introduced in propylene flow at 30 °C. The autoclave was closed. 1.5 NL of hydrogen were added and then, under stirring, 1.2 kg of liquid propene were fed. The temperature was raised to 70 °C in five minutes and the polymerization was carried out at this temperature for two hours. The nonreacted propylene was removed, the polymer was collected, dried at 70 °C under vacuum for three hours, weighed, and fractionated with o-xylene to determine the amount of the xylene insoluble (X.I.) fraction at 25 C.

### **Ethylene/1-butene polymerization: *general procedure***

A 4.0 liter stainless-steel autoclave equipped with a magnetic stirrer, temperature, pressure indicator, feeding line for ethene, propane, 1-butene, hydrogen, and a steel vial for the injection of the catalyst, was purified by fluxing pure nitrogen at 70 °C for 60 minutes. It was then washed with propane, heated to 75 °C and finally loaded with 800 g of propane, 1-butene (as reported in Table 4), ethene (7.0 bar, partial pressure) and hydrogen (2.0 bar, partial pressure).

In a 100 mL three neck glass flask were introduced in the following order, 50 mL of anhydrous hexane, 9.6 mL of 10 % by wt/vol, TEAL/hexane solution, optionally an external donor (E.D., as reported in Table 4) and the solid catalyst. They were mixed together and stirred at room temperature for 20 minutes and then introduced in the reactor through the steel vial by using a nitrogen overpressure.

Under continuous stirring, the total pressure was maintained constant at 75 °C for 120 minutes by feeding ethene. At the end the reactor was depressurised and the temperature was dropped to 30 °C. The collected polymer was dried at 70 °C under a nitrogen flow and weighted.

### Determination of xylene insolubles (X.I.)

2.5 g of polymer were dissolved in 250 mL of o-xylene under stirring at 135 °C for 30 minutes, then the solution was cooled to 25 °C and after 30 minutes the insoluble polymer was filtered. The resulting solution was evaporated in nitrogen flow and the residue was dried and weighed to determine the percentage of soluble polymer and then, by difference the xylene insoluble fraction

( %).

Determination of comonomer content in the copolymer:

1-Butylene was determined *via* infrared spectrometry .

Thermal analysis:

Calorimetric measurements were performed by using a differential scanning calorimeter DSC Mettler. The instrument is calibrated with indium and tin standards. The weighted sample (5-10 mg), obtained from the melt index determination, was sealed into aluminum pans, heated to 200 °C and kept at that temperature for a time long enough (5 minutes) to allow a complete melting of all the crystallites. Successively, after cooling at 20 °C/min to -20 °C, the peak temperature was assumed as crystallization temperature (Tc). After standing 5 minutes at 0 °C, the sample was heated to 200 °C at a rate of 10 °C/min. In this second heating run, the peak temperature was assumed as melting temperature (Tm) and the area as the global melting enthalpy (ΔH).

Determination of melt index (M.I.):

Melt index was measured at 190 °C following ASTM D-1238 over a load of:

2.16 kg, MI E = MI2.16.

21.6 kg, MI F = MI21.6.

The ratio: F/E = MI F/MI E = MI21.6/MI2.16 is then defined as melt flow ratio (MFR)

Determination of density:

Density was determined on the homogenized polymers (from the M.I. determination) by using a gradient column and following the ASTM D-1505 procedure.

Determination of polydispersity index (P.I.)

This property is strictly connected with the molecular weight distribution of the polymer under examination. In particular it is inversely proportional to the creep resistance of the polymer in the molten state. Said resistance called modulus separation at low modulus value (500 Pa), was determined at a temperature of 200 °C by using a parallel plates rheometer model RMS-800 marketed by RHEOMETRICS (USA), operating at an oscillation frequency which increases from 0.1 rad/sec to 100 rad/sec. From the modulus separation value, one can derive the P.I. by way of the equation:

$$P.I. = 54.6 * (\text{modulus separation})^{-1.76}$$

in which the modulus separation is defined as:

modulus separation = frequency at  $G' = 500\text{Pa}$  / frequency at  $G'' = 500\text{Pa}$

wherein  $G'$  is storage modulus and  $G''$  is the loss modulus.

## **EXAMPLES**

### **Examples 1-27 and comparative examples 28-30**

#### **Preparation of solid catalyst components.**

Into a 500 mL four-necked round flask, purged with nitrogen, 250 mL of  $\text{TiCl}_4$  were introduced at 0 °C. While stirring, 10.0 g of microspheroidal  $\text{MgCl}_2 \cdot 2.8\text{C}_2\text{H}_5\text{OH}$  (prepared according to the method described in ex.2 of USP 4,399,054 but operating at 3000 rpm instead of 10000 rpm) and 7.4 mmol of succinate were added. The temperature was raised to 100 °C and maintained for 120 min. Then, the stirring was discontinued, the solid product was allowed to settle and the supernatant liquid was siphoned off. Then 250 mL of fresh  $\text{TiCl}_4$  were added. The mixture was reacted at 120 °C for 60 min and, then, the supernatant liquid was siphoned off. The solid was washed six times with anhydrous hexane (6 x 100 mL) at 60 °C. Finally, the solid was dried under vacuum and analyzed. The type and amount of succinate (wt %) and the amount of Ti (wt %) contained in the solid catalyst component are reported in Table 1. Polymerization results are reported in Table 2. The polymer obtained in the example 10 was characterized and it showed a polydispersity index of 6, a content of isotactic units expressed in terms of pentads of 98% and a flexural modulus of 2150 MPa.

Table 1

Ex. no.	Succinate <u>Type</u>	Wt %	Ti Wt %
1	Diethyl phenylsuccinate	15.3	4.0
2	Diethyl cyclohexylsuccinate	16.4	3.3
3	Diisobutyl cyclohexylsuccinate	11.9	3.1
4	Diethyl benzylsuccinate	12.8	2.1
5	Diethyl cyclohexylmethylsuccinate	15.3	3.2
6	Diethyl 2,2-dimethylsuccinate	13.0	2.6
7	Diisobutyl 2,2-dimethylsuccinate	12.1	3.2
8	Diethyl 2-ethyl-2-methylsuccinate	13.3	1.9
9	Diisobutyl 2-ethyl-2-methylsuccinate	15.2	3.3
10	Diethyl 2,3-diisopropylsuccinate	18.9	4.2
11	Diisobutyl 2,3-diisopropylsuccinate	17.2	4.2
12	Diethyl 2,3-dibenzylsuccinate	24.1	3.2
13	Diethyl 2,3-bis(cyclohexylmethyl)succinate	21.5	4.7
14	Diisobutyl 2,2,3-trimethylsuccinate	8.0	4.4
15	Diethyl 2-benzyl-3-ethyl-3-methylsuccinate	14.9	3.2
16	Diethyl 2-(cyclohexylmethyl)-3-ethyl-3-methylsuccinate	17.9	2.9

**Table 1 (continued)**

Ex.	Succinate		Ti
no.	Type	Wt %	Wt %
17	Diethyl <i>t</i> -butylsuccinate	14.0	2.9
18	Diethyl 2,3-di- <i>n</i> -propylsuccinate	13.1	3.9
19	Dimethyl 2,3-diisopropylsuccinate	17.7	4.1
20	Diisopropyl 2,3-diisopropylsuccinate	13.7	4.3
21	Di- <i>n</i> -butyl 2,3-diisopropylsuccinate	17.4	4.6
22	<i>meso</i> Diethyl 2,3-dicyclohexylsuccinate	12.5	4.3
23	Diethyl 2,3-diethyl-2-isopropylsuccinate	17.0	4.4
24	Diethyl 2,3-diisopropyl-2-methylsuccinate	17.2	5.1
25	Diethyl 2,3-diisopropyl-2-ethylsuccinate	12.0	5.4
26	Diethyl 2,3-dicyclohexyl-2-methylsuccinate	20.0	5.3
27	Diethyl 2,2,3,3-tetramethylsuccinate	9.0	4.0
Comp.28	Di- <i>n</i> -butyl succinate	7.4	2.1
Comp.29	Diethyl methylsuccinate	10.9	3.4
Comp.30	Diisobutyl ethylsuccinate	7.7	3.0

**Table 2**

<b>Example</b>	<b>Yield</b>	<b>X.I.</b>
<b>no.</b>	<b>kgPP/gCat</b>	<b>Wt %</b>
1	20	98.3
2	35	97.4
3	28	97.3
4	22	96.6
5	33	97.8
6	37	97.2
7	44	97.0
8	44	98.6
9	42	97.3
10	61	98.4
11	69	98.8
12	42	96.1
13	39	97.0
14	29	96.6
15	36	96.0
16	42	96.8

**Table 2 (continued)**

<b>Example</b>	<b>Yield</b>	<b>X.I.</b>
<b>No.</b>	<b>kgPP/gCat</b>	<b>Wt %</b>
17	25	97.0
18	41	96.7
19	37	98.4
20	40	97.4
21	62	98.5
22	58	95.0
23	43	96.2
24	50	94.9
25	40	95.0
26	50	96.0
27	36	95.5
Comp.28	9	96.0
Comp.29	11	95.8
Comp.30	12	96.0

**Example 31**

The procedure of examples 1-27 and comparative examples 28-30 was used, but, preparing the solid catalyst component *rac* diethyl 2,3-diisopropylsuccinate was added as succinate. The resulting solid catalyst component contained: Ti = 4.8% by weight, *rac* diethyl 2,3-diisopropylsuccinate 16.8% by weight.

The above mentioned solid catalyst component was polymerized according to the general polymerization procedure but without using dicyclopentyldimethoxysilane. The polymer yield was 65 kg of polypropylene/g of solid catalyst component with X.I.=96.1%.

**Examples 32-38**

The solid catalyst component of example 10 was polymerized according to the general polymerization procedure but instead of dicyclopentyldimethoxysilane the electron donors of Table 3 were used. The amount and type of electron donor and the polymerization results are reported in Table 3

**Comparative Example 39**

The procedure of examples 1-27 and comparative examples 28-30 was used, but, preparing the solid catalyst component, 14 mmol of ethyl benzoate were added instead of the succinate compound. The resulting solid catalyst component contained: Ti = 3.5% by weight, ethyl benzoate 9.1% by weight.

The above mentioned solid catalyst component was polymerized with the same procedure of example 38.

The polymerization result is reported in Table 3

**Table 3**

<b>Ex</b>	<b>External donor</b>		<b>Yield</b>	<b>X.I.</b>
<b>no.</b>	<b>Type</b>	<b>mmol</b>	<b>kg/g</b>	<b>%</b>
32	Cyclohexylmethyldimethoxysilane	0.35	61	97.9
33	3,3,3-trifluoropropylmethyldimethoxysilane	0.35	58	96.8
34	3,3,3-trifluoropropyl(2-ethylpiperidyl)dimethoxysilane	0.35	70	98.2
35	Diisopropylmethoxysilane	0.35	62	97.6
36	9,9-bis(methoxymethyl)fluorene	0.35	70	98.0
37	Diethyl 2,3-diisopropylsuccinate	0.35	59	96.4
38	Ethyl p-ethoxybenzoate	3.00	20	98.1
Comp.	Ethyl p-ethoxybenzoate	3.00	23	96.1
39				

**Example 40**

The procedure of examples 1-27 and comparative examples 28-30 was used, but, preparing the solid catalyst component 7.4 mmol of diethyl 2,3-diisopropylsuccinate and 7.4 mmol of 9,9-bis(methoxymethyl)fluorene were added.

The resulting solid catalyst component contained: Ti = 3.5% by weight, diethyl 2,3-diisopropylsuccinate = 11.5% by weight and 9,9-bis(methoxymethyl)fluorene = 6.9% by weight.

The above mentioned solid catalyst component was polymerized as in the general polymerization procedure. The polymer yield was 74 kg of polypropylene /g of solid catalyst component with X.I.=99.3%.

**Example 41**

The solid catalyst component of example 40 was polymerized according to the general polymerization procedure but without using dicyclopentyldimethoxysilane. The polymer yield was 100 kg of polypropylene /g of solid catalyst component with X.I.=98.6%.

**Example 42**

The procedure of examples 1-27 and comparative examples 28-30 was used, but, preparing the solid catalyst component, 7.4 mmol of 9,9-bis(methoxymethyl)fluorene were added instead of the succinate compound. The resulting solid catalyst component contained: Ti = 3.5% by weight, 9,9-bis(methoxymethyl)fluorene = 18.1% by weight.

The above mentioned solid catalyst component was polymerized according to the general polymerization procedure but instead of dicyclopentyldimethoxysilane, 0.35 mmol of diethyl 2,3-diisopropylsuccinate were used. The polymer yield was 84 kg of polypropylene /g of solid catalyst component with X.I.=98.6%.

**Example 43****Preparation of solid catalyst component**

The spherical support, prepared according to the general method described in Ex. 2 of USP 4,399,054 (but operating at 3000 rpm instead of 10000 rpm) was subjected to thermal treatment, under nitrogen flow, within the temperature range of 50-150 °C, until spherical particles having a residual alcohol content of about 35 wt.% (1.1 mol of alcohol per mol of  $MgCl_2$ ) were obtained.

16 g of this support were charged, under stirring at 0 °C, to a 750 mL reactor containing 320 mL of pure  $TiCl_4$ . 3.1 mL of diethyl 2,3-diisopropylsuccinate, were slowly added and the temperature was raised to 100 °C in 90 minutes and kept constant for 120 minutes. Stirring was discontinued, settling was allowed to occur and the liquid phase was removed at the temperature of 80 °C. Further 320 mL of fresh  $TiCl_4$  were added and the temperature was raised to 120 °C and kept constant for 60 minutes. After 10 minutes settling the liquid phase was removed at the temperature of 100 °C. The residue was washed with anhydrous heptane (300 mL at 70 °C then 3 times (250 mL each time) then with anhydrous hexane at 60 °C. The component in spherical form was vacuum dried at 50 °C.

The catalyst composition was as follow:

Ti	2.9 wt.%
diethyl 2,3-diisopropylsuccinate	3.8 wt.%
Solvent	13.5 wt.%

#### Ethylene polymerization:

A 4.0 liter stainless-steel autoclave equipped with a magnetic stirrer, temperature and pressure indicator, feeding line for ethene, propane, hydrogen, and a steel vial for the injection of the catalyst was used and purified by fluxing pure nitrogen at 70 °C for 60 minutes and than washed with propane.

In the following order 50 mL of anhydrous hexane, 5 mL of 10 % by wt/vol, TEAL/hexane solution and 0.019 g of the solid catalyst were mixed together at room temperature, aged 20 minutes and introduced in the empty reactor in propane flow. The autoclave was closed and 800 g of propane were introduced, then the temperature was raised to 75 °C and ethylene (7.0 bar, partial pressure) and hydrogen (3.0 bar, partial pressure) were added.

Under continuous stirring, the total pressure was maintained at 75 °C for 180 minutes by feeding ethene. At the end the reactor was depressurised and the temperature was dropped to 30 °C. The collected polymer was dried at 70 °C under a nitrogen flow.

375 g of polyethylene were collected. The polymer characteristics are reported in Table 5.

#### Example 44

The solid catalyst of the example 43 was used in the ethylene/1-butene copolymerization as reported in the general procedure but without using any external donor.

The other polymerization conditions are reported in Table 4 while the polymer characteristics are collected in Table 5.

#### Example 45

The solid catalyst of the example 43 was used in the ethylene/1-butene copolymerization as reported in the general procedure but by using 0.56 mmol of cyclohexylmethyldimethoxysilane as external donor.

The other polymerization conditions are reported in Table 4 while the polymer characteristics are collected in Table 5.

#### Example 46

The solid catalyst of the example 43 was used in the ethylene/1-butene copolymerization as reported in the general procedure but by using 0.56 mmol of diethyl 2,3-diisopropylsuccinate as external donor.

The other polymerization conditions are reported in Table 4 while the polymer characteristics are collected in Table 5.

#### Example 47

The solid catalyst of the example 43 was used in the ethylene/1-butene copolymerization in a fluidized gas-phase reactor as described below.

A 15.0 liter stainless-steel fluidized reactor equipped with gas-circulation system, cyclone separator, thermal exchanger, temperature and pressure indicator, feeding line for ethylene, propane, 1-butene, hydrogen, and a 1 L steel reactor for the catalyst prepolymerization and injection of the prepolymer. The gas-phase apparatus was purified by fluxing pure nitrogen at 40 °C for 12 hours and then was circulated a propane (10 bar, partial pressure) mixture containing 1.5 g of TEAL at 80 °C for 30 minutes. It was then depressurized and the reactor washed with pure propane, heated to 75 °C and finally loaded with propane (2 bar partial pressure), 1-butene (as reported in Table 4), ethylene (7.1 bar, partial pressure) and hydrogen (2.1 bar, partial pressure).

In a 100 mL three neck glass flask were introduced in the following order, 20 mL of anhydrous hexane, 9.6 mL of 10 % by wt/vol, TEAL/hexane solution and the solid catalyst of the example 43 (in the amount reported in Table 4). They were mixed together and stirred at room temperature for 5 minutes and then introduced in the prepolymerization reactor

maintained in a propane flow.

The autoclave was closed and 80 g of propane and 90 g of propene were introduced at 40 °C. The mixture was allowed stirring at 40 °C for 30 minutes. The autoclave was then depressurized to eliminate the excess of unreacted propene, and the obtained prepolymer was injected into the gas-phase reactor by using a propane overpressure (1 bar increase in the gas-phase reactor). The final pressure, in the fluidized reactor, was maintained constant at 75 °C for 180 minutes by feeding a 10 wt.% 1-butene/ethene mixture.

At the end, the reactor was depressurised and the temperature was dropped to 30 °C. The collected polymer was dried at 70 °C under a nitrogen flow and weighted.

The polymer characteristics are collected in Table 5.

#### **Example 48**

##### **Preparation of solid catalyst component**

The procedure of example 43 was repeated but instead of diethyl 2,3-diisopropylsuccinate was used diisobutyl phthalate (11.8 mmol). The characteristics of the dried catalyst were as follow:

Ti	2.3 wt.%
diisobutyl phthalate	4.4 wt.%
Solvent	5.5 wt.%

The solid catalyst was then used in the ethylene/1-butene copolymerization as reported in the general procedure but using diethyl 2,3-diisopropylsuccinate as E.D.

The other polymerization conditions are reported in Table 4 while the polymer characteristics are collected in Table 5.

**Table 4 Ethylene (co)polymerization**

Example	Catalyst Mg	E.D.		Al/E.D.	1-butene G	time min	Polymer Yield	
		Type	Mmol				g	kg/gCat
43	19.0	--	--	--	--	180	375	19.7
44	21.0	--	--	--	170	120	300	14.3
45	38.8	CHMMS	0.56	15	200	120	470	12.1
46	22.0	Diethyl 2,3-diisopropylsuccinate	0.56	15	200	120	255	11.6
47	46.0	--	--	--	330*	180	815	17.7
48	39.5	Diethyl 2,3-diisopropylsuccinate	0.56	15	200	120	290	7.3

CHMMS = Cyclohexyl-methyl-dimethoxysilane

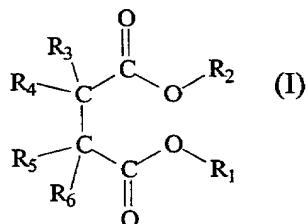
**Table 5, Copolymer characterization**

Polymer Example	Melt Index			1-C4- (I.R.)	Density Wt.%	D. S. C.			X.S. wt.%
	E dg/min	F dg/min	F/E			Tc °C	Tm °C	DH J/g	
	dg/min								
43	0.44	13.9	31.6	--	--	--	--	--	--
44	0.86	26.7	31.5	10.1	0.9174	105	124.8	126	14.9
45	1.0	28.1	28.1	9.8	0.9170	105	123.7	125	14.8
46	0.79	25.8	32.6	8.4	0.9199	n.d.	n.d.	n.d.	n.d.
47	2.3	77.1	33.5	10.5	0.9136	106	123.9	118	n.d.
48	0.84	29.5	35.1	12.8	0.9165	107	126.0	116	n.d.

n.d. =not determined

**CLAIMS**

1. A solid catalyst component for the polymerization of olefins  $\text{CH}_2=\text{CHR}$ , in which R is hydrogen or a hydrocarbyl radical with 1-12 carbon atoms, comprising Mg, Ti, halogen and an electron donor selected from succinates of formula (I):



wherein the radicals  $R_1$  and  $R_2$ , equal to or different from each other, are a  $C_1$ - $C_{20}$  linear or branched alkyl, alkenyl, cycloalkyl, aryl, arylalkyl or alkylaryl group, optionally containing heteroatoms; the radicals  $R_3$  to  $R_6$  equal to or different from each other, are hydrogen or a  $C_1$ - $C_{20}$  linear or branched alkyl, alkenyl, cycloalkyl, aryl, arylalkyl or alkylaryl group, optionally containing heteroatoms, and the radicals  $R_3$  to  $R_6$  which are joined to the same carbon atom can be linked together to form a cycle; with the proviso that when  $R_3$  to  $R_5$  are contemporaneously hydrogen  $R_6$  is a radical selected from primary branched, secondary or tertiary alkyl groups, cycloalkyl, aryl, arylalkyl or alkylaryl groups having from 3 to 20 carbon atoms.

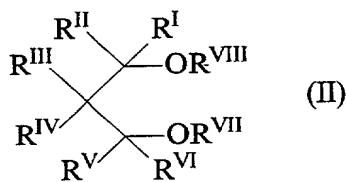
2. Catalyst component according to claim 1 in which the electron donor compound of formula (I) is selected from those in which  $R_1$  and  $R_2$  are  $C_1$ - $C_8$  alkyl, cycloalkyl, aryl, arylalkyl and alkylaryl groups.
3. Catalyst components according to claim 2 in which  $R_1$  and  $R_2$  are selected from primary alkyls.
4. Catalyst component according to claim 1 in which the electron donor compound of formula (I) is selected from those in which  $R_3$  to  $R_5$  are hydrogen and  $R_6$  is a branched alkyl, cycloalkyl, aryl, arylalkyl and alkylaryl radical having from 3 to 10 carbon atoms.
5. Catalyst component according to claim 4 in which  $R_6$  is a branched primary alkyl group or

a cycloalkyl group having from 3 to 10 carbon atoms.

6. Catalyst component according to claim 1 in which the electron donor compound of formula (I) is selected from those in which at least two radicals from R<sub>3</sub> to R<sub>6</sub> are different from hydrogen and are selected from C<sub>1</sub>-C<sub>20</sub> linear or branched alkyl, alkenyl, cycloalkyl, aryl, arylalkyl or alkylaryl groups optionally containing heteroatoms.
7. Catalyst component according to claim 6 in which the two radicals different from hydrogen are linked to the same carbon atom.
8. Catalyst component according to claim 6 in which the two radicals different from hydrogen are linked to different carbon atoms.
9. Catalyst component according to claim 8 in which the succinate of formula (I) is selected from diethyl 2,3-diisopropylsuccinate, diisobutyl 2,3-diisopropylsuccinate, di-n-butyl 2,3-diisopropylsuccinate, diethyl 2,3-dicyclohexyl-2-methylsuccinate, diisobutyl 2,3-dicyclohexyl-2-methylsuccinate, diisobutyl 2,2-dimethylsuccinate, diethyl 2,2-dimethylsuccinate, diethyl 2-ethyl-2-methylsuccinate, diisobutyl 2-ethyl-2-methylsuccinate, diethyl 2-(cyclohexylmethyl)-3-ethyl-3-methylsuccinate, diisobutyl 2-(cyclohexylmethyl)-3-ethyl-3-methylsuccinate.
10. A solid catalyst component according to any of the preceding claims in which the succinates are used in the form of pure stereoisomers.
11. A solid catalyst component according to any of the preceding claims in which the succinates are used in the form of mixtures of enantiomers, or mixture of diastereoisomers and enantiomers.
12. A solid catalyst component according to claim 11 in which diethyl 2,3-diisopropylsuccinate, diisobutyl 2,3-diisopropylsuccinate and di-n-butyl 2,3-diisopropylsuccinate are used as a pure *rac* or *meso* forms, or as mixtures thereof.
13. A solid catalyst component according to any of the preceding claims comprising a titanium compound having at least a Ti-halogen bond and the succinate of formula (I) supported on a Mg dichloride in active form.
14. A solid catalyst component according to claim 10 in which the titanium compound is TiCl<sub>4</sub> or TiCl<sub>3</sub>.
15. A solid catalyst component according to any of the preceding comprising another electron

donor compound in addition to the succinate of formula (I).

16. A solid catalyst component according to claim 15 in which the additional electron donor compound is selected from ethers, esters of organic mono or bicarboxylic acids and amines.
17. A solid catalyst component according to claim 16 in which the additional electron donor compound is selected from the 1,3-propanediethers of formula (II) and esters of organic mono or bicarboxylic acids.
18. A solid catalyst component according to claim 17 in which the additional electron donor compound is selected from phthalates or the 1,3-diethers in which  $R^{VII}$  and  $R^{VIII}$  are selected from  $C_1$ - $C_4$  alkyl radicals,  $R^{III}$  and  $R^{IV}$  form a condensed unsaturated cycle and  $R^I$ ,  $R^{II}$ ,  $R^V$  and  $R^{VI}$  are hydrogen.
19. A catalyst for the polymerization of olefins  $CH_2=CHR$ , in which R is hydrogen or a hydrocarbyl radical with 1-12 carbon atoms, comprising the product of the reaction between:
  - the solid catalyst component of any of the claims 1-18;
  - an alkylaluminum compound and, optionally,
  - one or more electron donor compounds (external donor).
20. Catalyst according to claim 19 in which the alkylaluminum compound (b) is a trialkyl aluminum compound.
21. Catalyst according to claim 20 in which the trialkylaluminum compound is selected from triethylaluminum, triisobutylaluminum, tri-n-butylaluminum, tri-n-hexylaluminum, tri-n-octylaluminum.
22. Catalyst according to claim 19 in which the external donor (c) is selected from the 1,3-diethers of the general formula (II):



wherein  $R^1$ ,  $R^II$ ,  $R^III$ ,  $R^IV$ ,  $R^V$  and  $R^VI$  are equal or different to each other, hydrogen or hydrocarbon radicals having from 1 to 18 carbon atoms, and  $R^VII$  and  $R^VIII$ , equal or different from each other, have the same meaning of  $R^1-R^VI$  except that they cannot be hydrogen; one or more of the  $R^1-R^VIII$  groups can be linked to form a cycle.

23. Catalyst according to claim 22 in which the 1,3-diethers are selected from those in which  $R^VII$  and  $R^VIII$  are selected from  $C_1-C_4$  alkyl radicals,  $R^III$  and  $R^IV$  form a condensed unsaturated cycle and  $R^1$ ,  $R^II$ ,  $R^V$  and  $R^VI$  are hydrogen.
24. Catalyst according to claim 23 in which the diether of formula (II) is 9,9-bis(methoxymethyl)fluorene.
25. Catalyst according to claim 19 in which the external donor (c) is a silicon compound of formula  $R_a^7R_b^8Si(OR^9)_c$ , where a and b are integer from 0 to 2, c is an integer from 1 to 4 and the sum  $(a+b+c)$  is 4;  $R^7$ ,  $R^8$  and  $R^9$  are  $C1-C18$  hydrocarbon groups optionally containing heteroatoms.
26. Catalyst according to claim 25 in which a is 1, b is 1 and c is 2.
27. Catalyst according to claim 25 or 26 in which  $R^7$  and/or  $R^8$  are branched alkyl, cycloalkyl or aryl groups with 3-10 carbon atoms optionally containing heteroatoms and  $R^9$  is a  $C_1-C_{10}$  alkyl group, in particular methyl.
28. Catalyst according to claim 25 in which a is 0, c is 3 and  $R^8$  is a branched alkyl or cycloalkyl group and  $R^9$  is methyl.
29. A catalyst for the polymerization of olefins  $CH_2=CHR$ , in which R is hydrogen or a hydrocarbyl radical with 1-12 carbon atoms, comprising the product of the reaction between:
  - (i) a solid catalyst component comprising Mg, Ti, halogen and an electron donor (d);
  - (ii) an alkylaluminum compound and,
  - (iii) a succinate of formula (I).
30. Catalyst according to claim 29 in which the succinate of formula (I) is selected from those in which at least two radicals from  $R_3$  to  $R_6$  are different from hydrogen and are selected from  $C_1-C_{20}$  linear or branched alkyl, alkenyl, cycloalkyl, aryl, arylalkyl or alkylaryl groups optionally containing heteroatoms.
31. Catalyst component according to claim 30 in which the two radicals different from

hydrogen are linked to different carbon atoms.

32. Catalyst according to claim 29 in which the internal donor (d) is selected from ethers, esters of organic mono or bicarboxylic acids and amines.
33. Catalyst according to claim 32 in which the internal donor (d) is selected from the 1,3-propanediethers of formula (II) and esters of organic mono or bicarboxylic acids.
34. Catalyst according to claim 33 in which the internal donor (d) is selected from phthalates or the 1,3-diethers in which R<sup>VII</sup> and R<sup>VIII</sup> are selected from C<sub>1</sub>-C<sub>4</sub> alkyl radicals, R<sup>III</sup> and R<sup>IV</sup> form a condensed unsaturated cycle and R<sup>I</sup>, R<sup>II</sup>, R<sup>V</sup> and R<sup>VI</sup> are hydrogen.
35. A prepolymerized catalyst component for the polymerization of olefins CH<sub>2</sub>=CHR, wherein R is hydrogen or a C<sub>1</sub>-C<sub>12</sub> alkyl group, characterized by comprising a solid catalyst component according to claim 1-10 which has been prepolymerized with an olefin to such an extent that the amount of the olefin pre-polymer is from 0.2 to 500 g per g of solid catalyst component.
36. Prepolymerized catalyst according to claim 35 in which the solid catalyst component has been prepolymerized with ethylene or propylene.
37. Process for the (co)polymerization of olefins CH<sub>2</sub>=CHR, in which R is hydrogen or a hydrocarbyl radical with 1-12 carbon atoms, carried out in the presence of any of the catalysts of claims 19-36.
38. Process according to claim 37 in which the olefin to be (co)polymerized is selected from ethene, propene, 1-butene, 4-methyl-1-pentene and 1-hexene.
39. Propylene polymers characterized in that they have a polydispersity index of higher than 5, a content of isotactic units expressed in terms of pentads of higher than 97% and a flexural modulus of at least 2000 MPa.
40. Propylene polymers according to claim 39 in which the polydispersity index is higher than 5.1, the flexural modulus is higher than 2100 and the percent of propylene units in form of pentads is higher than 97.5%.

Please type a plus sign (+) inside this box →

PTO/SB/01 (12-97)

Approved for use through 9/30/00. OMB 0651-0032

Approved for use through 06/30/2014. GPO:2012 OMB:2004  
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

Declaration Submitted with Initial Filing **OR**  Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number	US 18003
First Named Inventor	Giampiero Morini et al.
<b><i>COMPLETE IF KNOWN</i></b>	
Application Number	/
Filing Date	
Group Art Unit	
Examiner Name	

**As a below named inventor, I hereby declare that:**

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

## Components and catalysts for the polymerization of olefins

the specification of which *(Title of the Invention)*

is attached hereto  
 OR  
 was filed on (MM/DD/YYYY) 04/12/2000 as United States Application Number or PCT International

Application Number: [REDACTED] (e.g., 100-000-0000) and was amended on (MM/DD/YYYY): [REDACTED] (if applicable).

hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as indicated by my agreement specifically referred to above.

acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
99201172.6	EP	04/15/1999	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 4]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box → 

Approved for use through 9/30/00. OMB 0651-0032

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
PCT/EP00/03333	04/12/2000	

Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.  Customer Number  →  Place Customer Number Bar Code Label here

Registered practitioner(s) name/registration number listed below

Name	Registration Number	Name	Registration Number
Margaret S. Millikin Cristina Reverzani	38,969 Limited Recog. under 37 CFR 10.9 (b)	Joanne W. Patterson	31,217

Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to:  Customer Number  OR  Correspondence address below

Name	Margaret S. Millikin			
Address	Basell North America Inc.			
Address	912 Appleton Road			
City	Elkton	State	MD	ZIP 21921
Country	US	Telephone	410-996-1646	Fax 410-996-1560

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:

A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])

Family Name or Surname

GIAMPIERO

MORINI

Inventor's Signature	<i>Giampiero Morini</i>				Date	11 Dec 2000
Residence: City	PADOVA	17X	State	Country	ITALY	Citizenship IT
Post Office Address	VIA Giotto 36					
Post Office Address						
City	PADOVA	State	ZIP	35100	Country	ITALY

Additional inventors are being named on the \_\_\_\_\_ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

Please type a plus sign (+) inside this box →

PTO/SB/02A (3-97)

Approved for use through 9/30/98. OMB 0651-0032

Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION				ADDITIONAL INVENTOR(S) Supplemental Sheet Page 3 of 4					
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])				Family Name or Surname					
GIULIO				BALBONTIN					
Inventor's Signature	<i>Giulio Balbontin</i>				Date	11 Dec 2002			
Residence: City	FERRARA	ITX	State	Country	IT	Citizenship	IT		
Post Office Address	VIA UGO BASSI 17 A								
Post Office Address									
City	FERRARA	State		ZIP	44100	Country	IT		
Name of Additional Joint Inventor, if any:	<input type="checkbox"/> A petition has been filed for this unsigned inventor								
Given Name (first and middle [if any])				Family Name or Surname					
YURI.V				GULEVICH					
Inventor's Signature	<i>Yuri Gulevich</i>				Date	11/20/02			
Residence: City	ELKTON	MD	State	MD	Country	US	Citizenship	RU	
Post Office Address	103 DEERFIELD ROAD, APARTMENT H								
Post Office Address									
City	ELKTON	State	MD	ZIP	21921	Country	US		
Name of Additional Joint Inventor, if any:	<input type="checkbox"/> A petition has been filed for this unsigned inventor								
Given Name (first and middle [if any])				Family Name or Surname					
HENRICUS P.B.				DUIJGHUISEN					
Inventor's Signature	<i>H. Duigen</i>				Date	5 Dec 2002			
Residence: City	ALMERE	NLX	State		NL	Country	NL	Citizenship	NL
Post Office Address	E. du PERRONSTRAAT 7								
Post Office Address									
City	ALMERE	State		ZIP	1321 BK	Country	NL		

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box → 

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>DECLARATION</b>		<b>ADDITIONAL INVENTOR(S)</b> Supplemental Sheet Page <u>4</u> of <u>4</u>
--------------------	--	--

<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor				
Given Name (first and middle [if any])		Family Name or Surname				
<u>REMCOT.</u>		<u>KELDER</u>				
Inventor's Signature	<u>Stelder</u>					Date <u>5 Dec. 2000</u>
Residence: City	<u>HOEVELAKEN</u>	<u>NL</u> State		Country	NL	Citizenship NL
Post Office Address	't VIERTEL 21					
Post Office Address						
City	<u>HOEVELAKEN</u>	State		ZIP	3871 RL	Country NL
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor				
Given Name (first and middle [if any])		Family Name or Surname				
<u>PETER A.A.</u>		<u>KLUSENER</u>				
Inventor's Signature	<u>Peter Klusener</u>					Date <u>29 Nov. 2000</u>
Residence: City	<u>UTRECHT</u>	<u>NL</u> State		Country	NL	Citizenship NL
Post Office Address	LEIDSEWEG 70					
Post Office Address						
City	<u>UTRECHT</u>	State		ZIP	3531 BE	Country NL
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor				
Given Name (first and middle [if any])		Family Name or Surname				
<u>FRANCISCUS M.</u>		<u>KORNDORFFER</u>				
Inventor's Signature	<u>Joel</u>					Date <u>5 Dec. 2000</u>
Residence: City	<u>KATWIJK aan zee</u>	<u>NL</u> State		Country	NL	Citizenship NL
Post Office Address	KORAAL 24					
Post Office Address						
City	<u>KATWIJK aan zee</u>	State		ZIP	2221 KN	Country NL

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.